Modern Slavery Statement for the Financial Year 2018

This statement is made in accordance with section 54(1) of the Modern Slavery Act 2015 (the “Act”) and applies to Jane Street Financial Ltd and Jane Street Europe Ltd (together, the “Companies”) in respect of the financial year ending 31 December 2018.

Our Business
The Companies are part of the Jane Street group, a proprietary trading group which acts as a global liquidity provider and market maker. Founded in 2000, Jane Street is home to one of the largest dedicated ETF trading teams in the world and an active participant on more than 170 electronic exchanges and other trading venues in about 45 countries around the world.

Jane Street’s Policy on Slavery and Human Trafficking
The Companies are committed to conducting their business at all times in an ethical manner. Any slavery or human trafficking practices found within the Companies’ supply chains shall not be tolerated.

Jane Street’s Supply Chains
The Companies operate in the financial services sector and therefore consider the risk of slavery and human trafficking within their supply chains to be low. For example, the supply chains for the Companies mainly consist of professional advisors, services provided by other financial services firms and contractors engaged to support and maintain operational and IT infrastructure. However, Jane Street acknowledges that slavery and human trafficking can exist across sectors and supply chains.

Actions Taken during financial year 2018

Risk based assessment of the Companies’ supply chains
During the preceding financial year, a risk based assessment of the Companies’ supply chains was carried out. That assessment focused on a review of third party suppliers who provide services on site at the Company’s offices, for example, cleaning and catering staff and supply chains which facilitate the manufacturing of goods for the Companies. That risk based assessment continues to represent an accurate assessment of the risks which exist in the Companies’ supply chains for the financial year 2018.

Staff Training
In order to raise awareness of the risks of modern slavery and human trafficking in the Companies’ supply chains, employees were provided with training regarding the issue of modern slavery and human trafficking. It is proposed that equivalent training will be provided periodically to increase awareness of this issue.

This statement has been approved by the Board of Directors of Jane Street Financial Limited (on 11 April 2019) and Jane Street Europe Limited (on 16 April 2019). This statement will be reviewed and updated annually to reflect the Companies’ duties under the Act and their commitment to ensuring their supply chains are transparent and free from slavery and human trafficking.

Robert Gricieri
Director of Jane Street Financial Limited and Jane Street Europe Limited, 16 April 2019