

Modern Slavery Statement for the Financial Year 2016

This statement is made in accordance with section 54(1) of the Modern Slavery Act 2015 (the “Act”) and applies to Jane Street Financial Ltd and Jane Street Europe Ltd (together, the “Companies”) in respect of the financial year ending 31 December 2016.

Our Business

The Companies are part of the Jane Street group, a proprietary trading firm which acts as a global liquidity provider and market maker. Founded in 2000, Jane Street is home to one of the largest dedicated ETF trading teams in the world, with 65 traders working across 200 exchanges and trading venues in 40 countries.

Jane Street's Policy on Slavery and Human Trafficking

The Companies are committed to conducting their business at all times in an ethical manner. Any slavery or human trafficking practices found within the Companies' supply chains shall not be tolerated. The Companies are implementing a risk based assessment with the aim of improving their practices to ensure that slavery and human trafficking does not exist within their supply chains.

Jane Street's Supply Chains

The Companies operate in the financial services sector and therefore consider the risk of slavery and human trafficking within their supply chains to be low. For example, the supply chains for the Companies mainly consists of professional advisors, services provided by other financial services firms and contractors engaged to support and maintain operational and IT infrastructure. However, Jane Street acknowledges that slavery and human trafficking can exist across sectors and supply chains.

Actions Taken or Planned

The risk based assessment of the Companies' supply chains has commenced with a review of third party suppliers who provide services on site at the Companies' offices, for example, cleaning and catering staff. We intend to expand this review and particular areas of focus shall be:

- assessing supply chains which facilitate the manufacturing of goods for the Companies, particularly where such goods are manufactured in high risk jurisdictions which are vulnerable to slavery and human trafficking;
- engaging with current and proposed key service providers to review and consider their approach to combatting modern slavery and trafficking; and
- developing staff awareness of potential slavery and human trafficking risks across supply chains.

This statement has been approved by the Board of Directors of Jane Street Financial Limited and Jane Street Europe Limited. This statement will be reviewed and updated annually to reflect the Companies' duties under the Act and their commitment to ensuring their supply chains is transparent and free from slavery and human trafficking.

Robert Granieri

Director of Jane Street Financial Limited and Jane Street Europe Limited, July 2017