Modern Slavery Statement for Jane Street Europe Limited for Financial Year 2019

This statement is made in accordance with section 54(1) of the Modern Slavery Act 2015 (the “Act”) and applies to Jane Street Europe Ltd (the “Company”) in respect of the financial year ending 31 December 2019.

Our Business
The Company is part of the Jane Street group, a proprietary trading group which acts as a global liquidity provider and market maker. Founded in 2000, Jane Street is home to one of the largest dedicated ETF trading teams in the world and an active participant on more than 175 electronic exchanges and other trading venues in about 45 countries around the world. The business of the Company is carrying out principal trading activities in financial instruments.

Jane Street’s Policy on Slavery and Human Trafficking
The Company is committed to conducting its business at all times in an ethical manner. Any slavery or human trafficking practices found within the Company’s supply chains shall not be tolerated.

Jane Street’s Supply Chains
The Company operates in the financial services sector and therefore considers the risk of slavery and human trafficking within its supply chains to be low. For example, the supply chains for the Company mainly consist of professional advisors, trading venues and financial services firms who facilitate the Company’s access to markets, exchanges and settlement of trades. However, the Company acknowledges that slavery and human trafficking can exist across sectors and supply chains.

Actions Taken During Financial Year 2019

Staff training
In order to raise awareness of the risks of modern slavery and human trafficking in the Company’s supply chain, employees were provided with training regarding the issue. It is proposed that equivalent training will be provided annually to increase awareness of the risks of modern slavery and human trafficking in the Company’s supply chain.

Risk based assessment of the Company’s supply chains
The Company carries out a risk based assessment of the Company’s supply chains periodically. The Company also encourages its employees to utilise the aforementioned training to conduct a risk based assessment of supply chains when procuring and engaging new stakeholders, or renewing engagements with existing stakeholders on behalf of the Company.

This statement has been approved by the Board of Jane Street Europe Limited on 16 June 2020. This statement will be reviewed and updated annually to reflect the Company’s duties under the Act and the Company’s commitment to ensuring its supply chains are transparent and free from slavery and human trafficking.

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Robert Granieri
Director of Jane Street Europe Limited
Modern Slavery Statement for Jane Street Financial Limited for Financial Year 2019

This statement is made in accordance with section 54(1) of the Modern Slavery Act 2015 (the “Act”) and applies to Jane Street Financial Ltd (the “Company”) in respect of the financial year ending 31 December 2019.

Our Business
The Company is part of the Jane Street group, a proprietary trading group which acts as a global liquidity provider and market maker. Founded in 2000, Jane Street is home to one of the largest dedicated ETF trading teams in the world and an active participant on more than 175 electronic exchanges and other trading venues in about 45 countries around the world. The Company operates in three business sectors. The first business sector is principal and matched principal trading activities, in financial instruments. The second business sector is the provision of agency trading services to other companies in the Jane Street group. In the third business sector the Company serves as the investment manager for an affiliate entity through its position as a Foreign Portfolio Investor. Key stakeholders of the Company include trading counterparties, trading venues and investment banks.

Jane Street’s Policy on Slavery and Human Trafficking
The Company is committed to conducting its business at all times in an ethical manner. Any slavery or human trafficking practices found within the Company’s supply chains shall not be tolerated.

Jane Street’s Supply Chains
The Company operates in the financial services sector and therefore considers the risk of slavery and human trafficking within its supply chains to be low. For example, the supply chains for the Company mainly consist of professional advisors, trading venues and financial services firms who facilitate the Company’s access to markets, exchanges and settlement of trades. However, the Company acknowledges that slavery and human trafficking can exist across sectors and supply chains.

Actions Taken During Financial Year 2019
Staff training
In order to raise awareness of the risks of modern slavery and human trafficking in the Company’s supply chain, employees were provided with training regarding the issue. It is proposed that equivalent training will be provided annually to increase awareness of the risks of modern slavery and human trafficking in the Company’s supply chain.

Risk based assessment of the Company’s supply chains
The Company carries out a risk based assessment of the Company’s supply chains periodically. The Company also encourages its employees, to utilise the aforementioned training to conduct a risk based assessment of supply chains when procuring and engaging new stakeholders, or renewing engagements with existing stakeholders on behalf of the Company.

This statement has been approved by the Board of Directors of Jane Street Financial Limited on 20 April 2020. This statement will be reviewed and updated annually to reflect the Company’s duties under the Act and the Company’s commitment to ensuring its supply chains are transparent and free from slavery and human trafficking.

Robert Granieri
Director of Jane Street Financial Limited